33. Data Bank: The PE Spectra of Paracyclophanes with Unsaturated Bridges and of Related Molecules

by Zhong-zhi Yang¹), Branka Kovač²), Edgar Heilbronner*, and Jacques Lecoultre

Institut für Physikalische Chemie, Universität Basel, Klingelbergstr. 80, CH-4056 Basel

and Chin Wing Chan and Henry N.C. Wong

Department of Chemistry, The Chinese University of Hong Kong, Shatin, New Territories, Hong Kong

and Henning Hopf

Institut für Organische Chemie, Universität Braunschweig, Hagenring 30, D-3300 Braunschweig

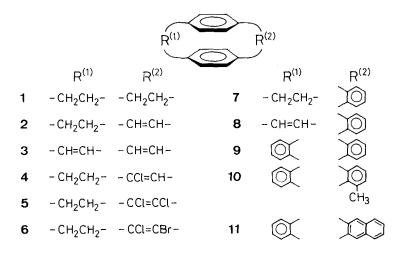
and Fritz Vögtle

Institut für Organische Chemie und Biochemie der Rheinischen Friedrich-Wilhelms-Universität Bonn, Gerhard-Domagk-Strasse 1, D-5300 Bonn

(6.1.87)

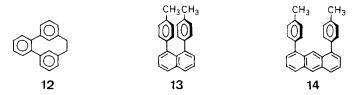
The PE spectroscopic data for a series of [2.2]paracyclophanes with olefinic and/or aromatic bridges, and of a few related molecules are reported, together with tentative assignments based on simple MO models.

Introduction. – Some time ago, we have published a data bank containing the PE spectra of a series of cyclophanes [1], and a review [2] in which those data were rationalized, using a simple MO model described previously [3]. To complement the above



¹) Permanent address: Institute of Theoretical Chemistry, Jilin University, Changchun, Peoples Republic of China.

²) Permanent address: Department of Chemistry, Rudger Bošković Institute, Zagreb, Yugoslavia.

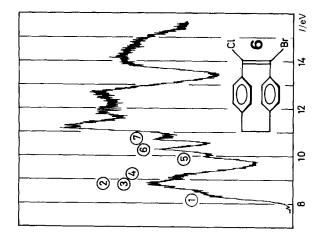


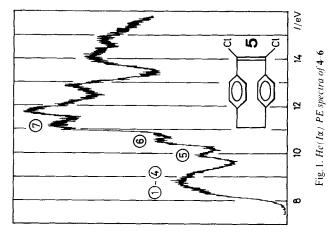
data, we now report the PE spectra of [2.2]paracyclophanes 1–11 with olefinic and/or aromatic bridges and of the related molecules 12–14.

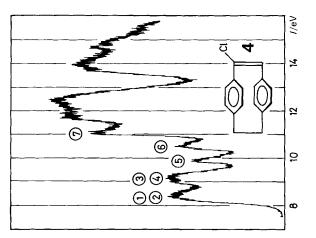
Experimental Results. The He($|\alpha\rangle$) PE spectra of the molecules 4 to 14 are shown in *Figs. 1-3*. The positions I_j^m of the band maxima, which are close to the vertical ionization energies $I_j^v (\approx r_j^m)$, are given in the *Table*, together with those of 1, 2, and 3 [1] [2]. All spectra were recorded at higher temp. (~ 100°-200°), because of the low volatility of the samples. As far as we can tell, no decomposition or rearrangement occurred under these conditions (*cf.* [4]). Due to the elevated recording temp, and the size of the molecules, the PE bands lack vibrational fine structure. This and the considerable overlap between individual bands prevent in many cases a meaningful deconvolution, and, thus, a precise assessment of the I_j^m values, or of the number of bands collected in a given peak. These limiting factors should be taken into account when referring to the data in the *Table*.

	0	0	3	4	6	6	Ø	8	9
1	8.10	8.10	8.4	9.65	10.3				
	$S_{+}(b_{2g})$	$A_{+}(b_{3g})$	$S_{-}(b_{3u})$	$A_{-}(b_{2u})$	σ				
2	8.1 ₀	8.1_{0}	8.65	9.3	9.7 ₀	10.2			
	$S_{+}(b_{2})$	$A_{+}(a_{2})$	$S_{-}(a_{1})$	π	A _ (b1)	σ			
3	8.10	8.1 ₀	8.95	9.4 ₀	9.8 ₅	10.3			
	$S_{+}(b_{2g})$	$A_{+}(b_{3g})$	S _ (b _{3u})	π_+,π	$A_{-}(b_{2u})$	σ			
4	8.3	8.4	9.1	9.1	9.8 ₅	10.4	10.95		
	$A_{+}(a'')$	S ₊ (a')	S _ (a')	$\pi - n(\pi)$	A _ (a")	σ			
5			8.8		9.9 ₀	10.5	11.2		
	$A_{+}(a_{2})$	$S_+(b_2)$	$\pi - n_+(\pi)$	$S_{-}(a_{1})$	A _ (b ₁)	σ			
6	· ·		8.75		9.9	10.25	10.65		
	A ₊ (a ")	$S_{+}(a')$	$\pi - n_+(\pi)$	S _ (a')	A _ (a")	$n(\sigma)$	σ		
7	8.0	_ 8.3	8.7	9.0	9.1 ₅	9.6 ₅	10.30		
			$P_A(b_1)$		0. 5	$A_{-}(b_{1})$	σ		
8	8.0	- 8.4	8.8	. 8.9	9.3	_ 9.4	9.8	10.25	
	$S_{+}(b_{2})$	$A_{+}(a_{2})$	$P_A(b_1)$	$S_{-}(a_{1})$	$P_{S}(a_{2})$	$\pi(b_1)$	$A_1(b_1)$	σ	
9	8.1		8.8					9.7	10.3
	$S_{+}(b_{2g})$		$P_{A+}(b_{2u})$	~				$A_{-}(b_{2u})$	σ
10	7.8		8.5				- 9.2	9.7	10.20
	S ₊ (a')	A ₊ (a")	$P_{A+}(a'')$	$P_{A - }(a'')$	S (a')	$P_{S+}(a'')$	P _{S -} (a")	A _ (a")	σ
11	8.0	8.2	8.5	8.7	8.8	9.0	9.8	10.0	10.4
	$\psi_5(a_2)$	$S_{+}(b_{2})$	$A_{+}(a_{2})$		$P_{\Lambda}(b_1)$	$S_{-}(a_1)$	$A_{-}(b_{1})$	$\psi_3(a_2)$	σ
12	7.8 ₅	8.4 ₅	~		9.5 ₀	9.5 ₀			
	b	а		ь	а	b			
13	7.7		8.5 ₅		9.1		9.6		
			$A_{+}(a_{2})$			$A_{-}(b_{1})$	$\psi_3(b_1)$		
14									
	$\psi_7(b)$	$\psi_{6'}S_{-}(a)$	S ₊ (b)	$A_{+}(a)$	A _ (b)	$\psi_5(\mathbf{a})$	$\psi_{4'}S_{-}(a)$		

Table. *PE-Band Positions* 1^{*p*}/_{*p*}/*eV and Tentative Assignment of the PE Spectra of the Cyclophanes* 1–12, of 1.8-Bis(4-methylphenyl)naphthalene (13), and of 1.8-Bis(4-methylphenyl)anthracene (14)







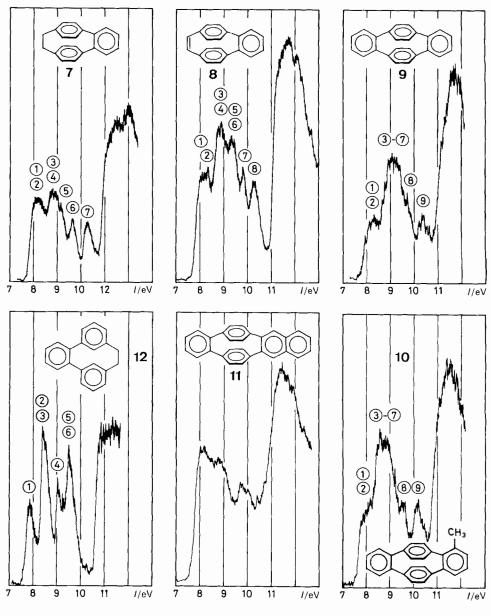


Fig. 2. He(1a) PE spectra of 7-12

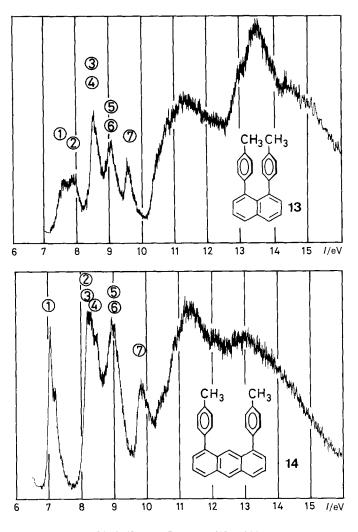
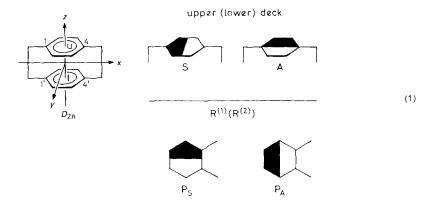


Fig. 3. He(Ia) PE spectra of 13 and 14

Assignments. The proposed assignments are based on correlation [5] and on the results of model calculations (see below). Notwithstanding the fact that they are both reasonable and in good agreement with previous results [2], they are subject to the limitations imposed by the experimental data (PE-band overlap) and the crudeness of the theoretical treatment [3]. The individual bands, or groups of overlapping bands are characterized by the basis orbitals or their symmetry-adapted linear combinations which dominate the particular molecular orbital from which the electron is ejected. The basis is defined as follows:



a) The le_{1g} orbitals of the benzene moieties of the upper (u) and lower (l) deck of 1 are designated by S_u , A_u , and S_b , A_l , respectively (*cf.* (1)), being symmetric (S) or antisymmetric (A) with respect to the *x*, *z*-plane containing the centres 1,4, 1', 4'. The symmetryadapted linear combinations (under D_{2b}) are: $S_+(b_{2g})$, $A_+(b_{3g})$, $S_-(b_{3u})$, and $A_-(b_{2u})$. Note that S_+ and A_+ are antisymmetric, S_- and A_- symmetric with respect to the *x*, *y*-plane.

b) The two-centre π -orbital of a bridging double bond (e.g. in 2, 3 etc.) is labeled π . The symmetry-adapted linear combinations in 3 are $\pi_+(b_{2u})$ and $\pi_-(b_{1g})$.

c) The le_{1g} orbitals of the *o*-phenylene groups (*e.g.* in **7**, **8** *etc.*) are labeled P_s and P_A , as shown in (1). The symmetry-adapted linear combinations (under D_{2h}) in **9** and **10** (disregarding the symmetry-breaking CH₃ group) are: $P_{s+}(b_{3g})$, $P_{A+}(b_{2u})$, $P_{s-}(a_u)$, and $P_{A-}(b_{1g})$.

d) Finally, the σ -type lone-pair orbitals of the halogen atoms are designated by n(X), the σ orbitals of the cyclophane framework by σ , and the molecular π orbitals of the naphthalene and anthracene moieties in **11** and **13**, or in **14** by ψ_j , numbered in ascending order of orbital energy.

Concerning the assignment of the PE spectra of 1, 2, and 3, the reader is referred to [2]. From the PE-spectroscopic investigations of Cl- and Br-substituted ethylenes by Wittel and Bock [6] [7], it is known that the $(\pi - n(\pi))^{-1}$ band of chloroethylene (15) and the $(\pi - n_{+}(\pi))^{-1}$ band of (Z)-1,2-dichloroethylene (16) are shifted by -0.35 eV and -0.63 eV, respectively, relative to the π^{-1} band of ethylene. The lone-pair bands occur at 11.61 eV (n(σ)⁻⁺ of **15**) and at 11.85, 12.09 eV (n₊(σ)⁻⁺ of **16**). For (Z)-1,2-dibromoethylene (17), a shift of -0.87 eV for the $(\pi - n_{+}(\pi))^{-1}$ band is observed, and ionization energies of 10.74 eV and 11.23, 11.53 eV (spin-orbit coupling) for the $n_+(\sigma)^{-1}$ bands. From these data, we deduce that the π^{-1} band of 2 shifts towards smaller ionization energies along the series $2 \rightarrow 4 \rightarrow 5 \rightarrow 6$, as indicated qualitatively in Fig. 4. Because of the additional substitution at the double bond, the shifts are expected to be smaller than those observed for 15, 16, and 17 [6] [7]. Schmidt and Schweig have shown [8] that in benzylchloride (18) and benzylbromide (19), the benzene A orbitals are marginally shifted with respect to the benzene π -orbital energy of -9.25 eV, whereas the S orbitals are lowered by about -0.35 eV, or by about -0.7 eV relative to the S-orbital energy of toluenc. These results are supported by similar observations on allylhalides [9]. The same S_+ - and S_- -orbital-energy lowering effect of the out-of-plane halogen atoms can be observed in the PE spectra of 4, 5, and 6, as shown qualitatively in Fig. 4. On the other

hand, the A_+ and A_- orbitals are only little affected. Finally, one can extrapolate the lone-pair ionization energies of the above reference compounds 15–19 to our molecules. The result is that the $n(\sigma)^{-1}$ bands of the Cl-atoms in 4, 5, and 6 are expected to be part of the band systems above 11 eV, whereas band (6) of 6 should be ascribed to the $n(\sigma)$ lone-pair (symmetry a') of the Br-atom.

The assignment of the PE spectra of $3 \rightarrow 8 \rightarrow 9$ given in the *Table (cf. Fig. 5)* rests on those of the spectra of 3 [2] and of 7. The latter assignment has been obtained by applying the MO model presented in [2] [3], using the structure parameters of [10]. This yields the orbital energies $\varepsilon(P_A) \approx -8.7$ eV and $\varepsilon(P_S) \approx -9.1$ eV for the orbitals P_A and P_S shifted by interaction with the σ -orbitals of the upper- and lower-deck benzene rings. Because of the negligible 'through-space' interaction between the left and right P_A and P_S orbitals in

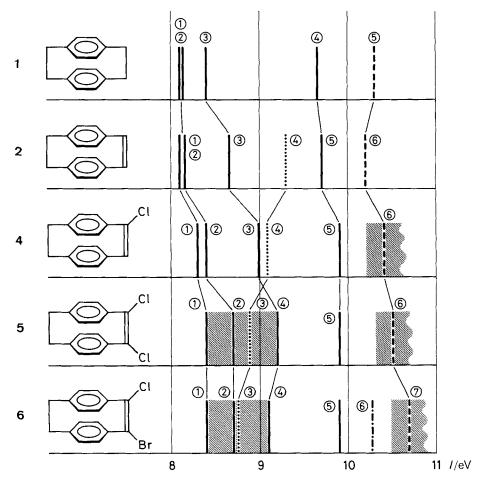


Fig. 4. Correlation diagram. The solid vertical bars refer to bands associated with orbitals dominated by the benzene-moiety π orbitals, the dotted lines and the broken lines to bands associated with the double-bond π and the σ -frame orbitals, respectively. Band (a) of **6** is due to ejection of an electron from a Br lone-pair orbital. Band positions within the shaded regions are uncertain.

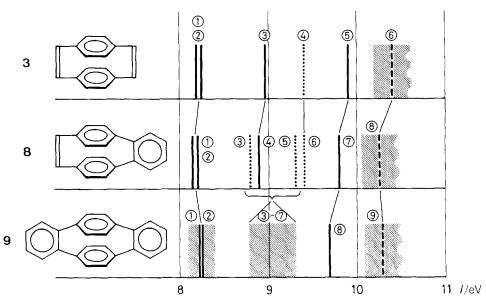


Fig. 5. Correlation diagram. Concerning the meaning of the vertical bars, see legend to Fig. 4. Note that the dotted lines now also refer to bands associated with the π orbital of the bridging *o*-phenylene groups.

9, the P_{A+}^{-1} , P_{A-}^{-1} , and P_{S+}^{-1} , P_{S-}^{-1} bands in the PE spectrum are expected to merge into two double bands, respectively, according to our MO model [2] [3] based on the structure [11]. The resulting assignment is supported by the experimental results for the methyl derivative **10**.

Again, the orbital labels proposed for the bands in the PE spectra of 11, 13, and 14 are obtained by the MO model defined in [2] [3], using the π orbitals ψ_1 of the naphthalene or anthracene moieties [12] as basis orbitals. For the 'through-space' interaction between the two peripositioned benzene π orbitals of 13, a coupling parameter of $\tau \approx 0.9$ eV has been assumed, whereas $\tau = 0$ eV is postulated for 14.

The orbital sequence in 12 is rather uncertain and should be used only with caution.

This work is part 168 of project 2.429–0.84 of the *Swiss National Science Foundation* (part 167 cf. [13]). Support by *Ciba-Geigy AG*, *Sandoz AG*, and *F*. *Hoffmann-La Roche & Co. AG* is gratefully acknowledged. *C.W.C.* gratefully acknowledges the award of a studentship, administered by the *Croucher Foundation*. Hong Kong. Work at Braunschweig was supported by the *Fonds der Chemischen Industrie*.

REFERENCES

- B. Kovač, M. Allan, E. Heilbronner, J.P. Maier, R. Gleiter, M.W. Haenel, P.M. Keehn, J.A. Reiss, J. Electron Spectrosc. Relat. Phenom. 1980, 19, 167.
- [2] E. Heilbronner, Z.-z. Yang, Topics Curr. Chem. 1983, 115, 1.
- [3] B. Kovač, M. Mohraz, E. Heilbronner, V. Boekelheide, H. Hopf, J. Am. Chem. Soc. 1980, 102, 4314; B. Kovač, M. Allan, E. Heilbronner, Helv. Chim. Acta 1981, 64, 430.
- [4] T. Koenig, R. Wiclesek, W. Snell, T. Balle, J. Am. Chem. Soc. 1975, 97, 3225; M. Allan, E. Heilbronner, G. Kaupp, Helv. Chim. Acta 1976, 59, 1949.
- [5] D.W. Turner, Ann. Rev. Phys. Chem. 1970, 21, 107; A.W. Potts, W.C. Price, Proc. R. Soc. London, [Ser.] A 1972, 326, 181; E. Heilbronner, J. P. Maier, in 'Electron Spectroscopy: Theory, Techniques and Applications', Eds. C.R. Brundle and A.D. Baker, Academic Press, London, 1977.
- [6] K. Wittel, Inaugural-Dissertation, Universität Frankfurt am Main, 1973; K. Wittel, H. Bock, Chem. Commun. 1972, 602; Chem. Ber. 1974, 107, 317; G. W. Mines, H. W. Thompson, Spectrochim. Acta, Part A 1973, 29, 1377.
- [7] K. Kimura, S. Katsumata, Y. Achiba, T. Yamazaki, S. Iwata, 'Handbook of Hel Photoelectron Spectra of Fundamental Organic Molecules', Japan Scientific Societies Press, Tokyo, 1981; R.D. Levin, S.G. Lias, 'Ionization Potential and Appearance Potential Measurements 1971-1981', National Bureau of Standards Reference Data Series NSRDS-NBS 71, U.S. Government Printing Office, Washington, 1982, and ref. given therein.
- [8] H. Schmidt, A. Schweig, Tetrahedron Lett. 1973, 981.
- [9] H. Schmidt, A. Schweig, Angew. Chem. 1973, 85, 299; ibid. Int. Ed. 1973, 12, 307.
- [10] H. N. C. Wong, C. W. Chan, Th. C. W. Mak, Jiegou Huaxue (J. Struct. Chem.) 1985, 4, 214.
- [11] H. N. C. Wong, C. W. Chan, Th. C. W. Mak, Acta Crystallogr., Sect. C 1986, 42, 703.
- [12] P. A. Clark, F. Brogli, E. Heilbronner, *Helv. Chem. Acta* **1972**, *55*, 1415; R. Boschi, J. N. Murrell, W. Schmidt, *Disc. Farady Soc.* **1972**, *54*, 116; R. Boschi, E. Clar, W. Schmidt, *J. Chem. Phys.* **1974**, *60*, 4406.
- [13] E. Heilbronner, E. Honegger, J. Lecoultre, C. A. Grob, R. Houriet, E. Rolli, Helv. Chim. Acta 1986, 69, 2114.